MEASURING YOUR TOLERANCE FOR INVESTMENT RISK

1. Investment Return Range

During any 12-month period, returns on portfolios with exposure to the stock market can vary dramatically. Given this variability and your initial investment of $100,000, please select the typical range of potential gains and losses with which you are most comfortable.*

*The returns displayed above reflect the typical high and low ranges of the rolling 12-month averages from January 1, 1990 through December 31, 2019 for six hypothetical portfolios of global stocks and bonds. These returns to do reflect the performance of actual investment accounts and are presented for illustrative purposes only. Past performance is not a guarantee of future results.

2. Maximum Decline

How much could your investments decline, with no relief in sight, before you sold all or most of your investments in stocks?

☐ -$15,000 ☐ -$25,000 ☐ -$35,000 ☐ -$45,000 ☐ -$50,000 ☐ -$55,000

3. Stock Market Forecast

Even if you have very little confidence in your forecast, pick your best guess at the most likely return for the stock market over the next 12 months.

☐ Less than -10% ☐ Between -10% and 10% ☐ Between 10% and 20% ☐ More than 20%

☐ No Expectations

4. Your Age Range

How old are you?

☐ Younger than 50 ☐ 50-59 years old ☐ 60-69 years old ☐ 70-79 years old ☐ 80+ years old

5. Planned Withdrawal

If you expect to withdraw a significant portion (at least 1/3) of your account for any purpose (e.g. a new house, children's education, a boat, etc.) other than retirement spending, when is it likely to be?

☐ Immediately ☐ Within 5 years ☐ 5-15 years from now ☐ 15+ years ☐ Never

INVESTOR SIGNATURES

SIGNATURE DATE

SIGNATURE DATE

AssetMark, Inc.

1655 Grant Street
10th Floor
Concord, CA 94520-2445
800-664-5345

Important Information

AssetMark, Inc. is an investment management and consulting firm that helps independent financial advisors build great businesses. This is for informational purposes only and is not a solicitation for investment. Individual investors should consult with their financial advisor to determine if the services available through the AssetMark platform are appropriate.

AssetMark, Inc. is an investment adviser registered with the U.S. Securities and Exchange Commission.

21743 | C20-16313 | 07/2020 | EXP 07/31/2021